

Mogale City

Local Municipality

PERFORMANCE MANAGEMENT AGREEMENT

ENTERED INTO BY AND BETWEEN:

MOGALE CITY LOCAL MUNICIPALITY

AS REPRESENTED BY THE

MUNICIPAL MANAGER:

MAKHOSANA MSEZANA

AND

CHIEF AUDIT EXECUTIVE

DUMISANI NGUTSHANA

THE EMPLOYEE OF THE MUNICIPALITY

FOR THE

FINANCIAL YEAR: 01 JULY 2022 TO 30 JUNE 2023

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PERFORMANCE MANAGEMENT AGREEMENT

ENTERED INTO BY AND BETWEEN

MOGALE CITY LOCAL MUNICIPALITY herein represented by **Makhosana Msezana** as the **Municipal Manager** (hereinafter referred to as the Employer or Supervisor)

And

Dumisani Ngutshana as the **Chief Audit Executive** (hereinafter referred to as the Employee).

WHEREBY IT IS AGREED AS FOLLOWS:

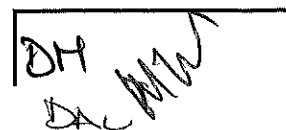
1. INTRODUCTION

- 1.1 The Employer has entered into a contract of employment with the Employee in Terms of section 57(1)(a) of the Local Government: Municipal Systems Act 32 of 2000 ("the Systems Act"). The Employer and the Employee are hereinafter referred to as the "the Parties".
- 1.2 The parties wish to ensure that they are clear about the goals to be achieved, and secure the commitment of the Employee to a set of outcomes that will secure local government policy goals.
- 1.3 The parties wish to ensure that there is compliance with Sections 57(4A), 57(4B), 57(C) and 57(5) of the Systems Act, Local Government: Municipal Performance Regulations for Municipal Managers and Managers directly accountable to Municipal Manager, 2006 & Local Government: Competency Framework for Senior Managers, 2014.

2. PURPOSE OF THIS AGREEMENT

The purpose of this Agreement is to –

- 2.1 Comply with the Contract of Employment entered into between the parties;
- 2.2 Specify objectives and targets established for the Employee and to communicate to the Employee the Employer's expectations of the Employee's performance expectations and accountabilities;
- 2.3 Specify accountabilities as set out in the Performance Management Plan (Annexure A);
- 2.4 Monitor and measure performance against set targeted outputs;




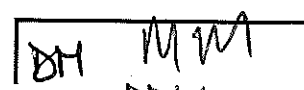
- 2.5 Use the Performance Management Agreement and Performance Management Plan as the basis for assessing whether the employee has met the performance expectations applicable to his job;
- 2.6 Appropriately reward the Employee in accordance with the Employer's performance management policy in the event of outstanding performance; and
- 2.7 Give effect to the Employer's commitment to a performance-orientated relationship with the Employee in attaining equitable and improved service delivery.

3 COMMENCEMENT AND DURATION

- 3.1 This Agreement will commence on the **01 FEBRUARY 2023** and will remain in force until **30 JUNE 2023**; where after a new Performance Management Agreement, Performance Management Plan and Personal Development Plan shall be concluded between the parties for the next financial year or any portion thereof.
- 3.2 The parties will review the provisions of the Agreement during February each year. The parties will conclude a new Performance Management Agreement and Performance Management Plan that replaces this Agreement at least once a year by not later 31 July.
- 3.3 This Agreement will terminate on the termination of the Employee's contract of employment for any reason.
- 3.4 The content of this Agreement may be revised at any time during the above- mentioned period to determine the applicability of the matters agreed upon.
- 3.5 If at any time during the validity of this Agreement the work environment alters (whether as a result of government or council decisions or otherwise) to the extent that the contents of this Agreement are no longer appropriate, the contents shall immediately be revised.

4 PERFORMANCE OBJECTIVES

- 4.1 The Performance Plan (Annexure A) sets out-
 - 4.1.1 The performance objectives and targets that must be met by the Employee; and
 - 4.1.2 The time frames within which those performance objectives and targets must be met.
- 4.2 The performance objectives and targets reflected in Annexure A are set by the Employer in consultation with the Employee and based on the Integrated Development Plan and

the Budget of the Employer, and shall include key objectives; key performance indicators; target and weightings.

4.3 The key objectives describe the main tasks that need to be done. The key performance indicators provide the details of the evidence that must be provided to show that a key objective has been achieved. The target dates describe the timeframe within which the work must be achieved. The weightings show the relative importance of the key objectives to each other.

4.4 The Employee's performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

5 PERFORMANCE MANAGEMENT SYSTEM

5.1 The Employee agrees to participate in the performance management system that the Employer adopts or introduces for the Employer, management and municipal staff of the Employer.

5.2 The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the Employer, management and municipal staff to perform to the standards required.

5.3 The Employer will consult the Employee about the specific performance standards that will be included in the performance management system as applicable to the Employee.

6 THE EMPLOYEE AGREES TO PARTICIPATE IN THE PERFORMANCE MANAGEMENT AND DEVELOPMENT SYSTEM THAT THE EMPLOYER ADOPTS

6.1 The Employee undertakes to actively focus towards the promotion and implementation of the KPAs (including special projects relevant to the employee's responsibilities) within the local government framework.

6.2 The criteria upon which the performance of the Employee shall be assessed shall consist of two components, both of which shall be contained in the performance agreement.

6.2.1 The Employee must be assessed against both components, with a weighting of 80:20 allocated to the Key Performance Areas (KPAs) and the Competency Requirements (CRs)(Leading & Core Competencies) respectively.

6.2.2 Each area of assessment will be weighted and will contribute a specific part to the total score.

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6.2.3 KPAs covering the main areas of work will account for 80 weighting and CRs will account for 20 weighting of the final assessment.

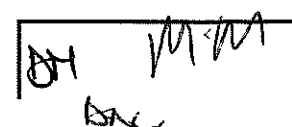
6.3 The Employee's assessment will be based on his/her performance in terms of the outputs/ outcomes (performance indicators) identified as per attached Performance Plan (Annexure A), which are linked to the KPA's, and will constitute 80 weighting of the overall assessment result as per the weightings agreed to between the employer and Employee:

Key Performance Areas (KPA's)	Weighting%
Good Governance and Public Participation	90%
Municipal Transformation and Organisational Development	10%
TOTAL	100%

6.4 The Employee's assessment will be based on his/her performance in terms of the outputs/ outcomes (performance indicators) identified as per attached Performance Plan (Annexure A), which are linked to the KPA's, and will constitute 80 weighting of the overall assessment result as per the weightings agreed to between the employer and Employee.

The six (6) core competencies that act as drivers to ensure that the leading competencies are executed at an optimal level

LEADING COMPETENCY REQUIREMENTS		WEIGHTS %
Strategic Direction and Leadership	<ul style="list-style-type: none"> Impact and influence Institutional Performance Management Strategic Planning and Management Organizational Awareness 	20
People Management	<ul style="list-style-type: none"> Human Capital Planning & Development Diversity Management Employee Relations Management Negotiation and Dispute Management 	10
Program & Project Management	<ul style="list-style-type: none"> Program & Project Planning and Implementation Service Delivery Management Program & Project Monitoring & Evaluation 	20
Financial Management	<ul style="list-style-type: none"> Budget Planning & Execution Financial Strategy & Delivery Financial Reporting & Monitoring 	20
Change Leadership	<ul style="list-style-type: none"> Change Vision & Strategy Process Design & Improvement Change Impact Monitoring & 	10

LEADING COMPETENCY REQUIREMENTS		WEIGHTS %
	Evaluation	
Governance Leadership	<ul style="list-style-type: none">• Policy Formulation• Risk and Compliance Management• Cooperative Governance	20
CORE COMPETENCIES		-
Moral Competence		
Planning and Organizing		
Analysis and Innovation		
Knowledge and Information Management		
Communication		
Result and Quality Focus		
TOTAL		100

7 EVALUATING PERFORMANCE

7.1 The Performance Management Plan (Annexure A) to this Agreement sets out-

7.1.1 The standards and procedures for evaluating the Employee's performance; and

7.1.2 The intervals for the evaluation of the Employee's performance.

7.2 Despite the establishment of agreed intervals for evaluation, the Employer may in addition review the Employee's performance at any stage while the contract of employment remains in force.

7.3 Personal growth and development needs identified during any performance review discussion must be documented in a Personal Development Plan as well as the actions agreed to and implementation must take place within set time frames.

7.4 The Employee's performance will be measured in terms of contributions to the goals and strategies set out in the Employer's IDP.

7.5 The annual performance appraisal will involve:

7.5.1 Assessment of the achievement of results as outlined in the performance Plan:

(a) Each KPA should be assessed according to the extent to which the specified standards or performance indicators have been met and with due regard to the tasks that had to be performed under the KPA;

(b) An indicative rating on the five-point scale should be provided for each KPA;

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- (c) The applicable assessment rating calculator must then be used to add the scores and calculate a final KPA score.

7.5.2 Assessment of the CRs

- (a) Each CR should be assessed according to the extent to which the specified standards have been met;
- (b) An indicative rating on the five-point scale should be provided for each CR;
- (c) The applicable assessment rating calculator must then be used to add the scores and calculate a final CR score.

7.5.3 Overall rating

An overall rating is calculated by using the applicable assessment-rating calculator. Such overall rating represents the outcome of the performance appraisal.

- 7.6 The assessment of the performance of the Employee will be based on the following rating scale for KPA's and CRs:

Overall Performance	Rating	Performance Score
Unacceptable Performance Performance does not meet the standard expected for the job. The employee has failed to demonstrate the commitment level expected in the job despite management efforts to encourage improvement.	1	0% - 59%
Performance Not Fully Effective Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job.	2	60% – 69 %
Performance Fully Effective Performance fully meets the standards expected in all areas of the job	3	70% – 79 %
Performance Significantly Above Expectations / Exceptional Performance Performance is significantly higher than the standard	4	80% – 89 %

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Overall Performance	Rating	Performance Score
expected in the job.		
Outstanding Performance Performance far exceeds the standard expected of an employee at this level.	5	90% – 100 %

7.7 For purposes of evaluating the annual performance of managers directly accountable to the Municipal Manager, an evaluation panel constituted of the following persons must be established –

- i. Municipal Manager;
- ii. Chairperson of the Performance Audit Committee or the Audit Committee in the absence of a Performance Audit Committee;
- iii. Member of the Mayoral Committee; and
- iv. Municipal Manager from another Municipality.

8 SCHEDULES FOR PERFORMANCE REVIEWS

8.1 The performance of each Employee in relation to his or her performance agreement shall be reviewed on the following dates with the understanding that reviews in the first and third quarter may be verbal if performance is satisfactory:

Quarter	Timeline	Date of the Review
First Quarter	July – September 2022	October 2022
Second Quarter	October – December 2022	January 2023
Third Quarter	January – March 2023	June 2023
Fourth Quarter	April – June 2023	n/a

8.2 The Employer shall keep a record of all formal and informal reviews, including the mid-year review and annual assessment meetings.

8.3 Performance feedback shall be based on the Employer's assessment of the Employee's performance.

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8.4 The Employer will be entitled to review and make reasonable changes to the provisions of Annexure "A" from time to time for operational reasons. The Employee will be fully consulted before any such change is made.

8.5 The Employer may amend the provisions of Annexure "A" whenever the performance management system is adopted, implemented and/or amended as the case may be. In that case the Employee will be fully consulted before any such change is made.

9 DEVELOPMENTAL REQUIREMENTS

The Personal Development Plan (PDP) for addressing developmental gaps is attached as Annexure B.

10. OBLIGATIONS OF THE EMPLOYER

10.1 The Employer shall:–

10.1.1 Create an enabling environment to facilitate effective performance by the Employee;

10.1.2 Provide access to skills development and capacity building opportunities;

10.1.3 Work collaboratively with the Employee to solve problems and generate solutions to common problems that may impact on the performance of the Employee;

10.1.4 On the request of the Employee delegate such powers reasonably required by the Employee to enable him/ her to meet the performance objectives and targets established in terms of this Agreement; and

10.1.5 Make available to the Employee such resources as the Employee may reasonably require from time to time to assist him/her to meet the performance objectives and targets established in terms of this agreement.

11 CONSULTATION

11.1 The Employer agrees to consult the Employee timeously where the exercising of the powers will have amongst others –

11.1.1 A direct effect on the performance of any of the Employee's functions;

11.1.2 Commit the Employee to implement or to give effect to a decision made by the Employer; and

11.1.3 A substantial financial effect on the Employer.

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11.2 The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in 11.1 as soon as is practicable to enable the Employee to take any necessary action without delay.

12. MANAGEMENT OF EVALUATION OUTCOMES

12.1 The evaluation of the Employee's performance will form the basis for rewarding outstanding performance or correcting unacceptable performance.

12.2 A performance bonus of 5% to 14% of the inclusive annual remuneration package may be paid to the Employee in recognition of performance and the amount payable would be calculated on the following basis:

Performance Rating			Bonus Amount
1.	0% - 59%	Performance Unacceptable	0% of total package
2.	60% - 69%	Performance Not Fully Effective	
3.	70% - 79%	Performance Fully Effective	Remuneration Progression
4.	80% - 89%	Performance Significantly Above Expectations/ Exceptional Performance	<ul style="list-style-type: none">• Remuneration Progression• 5% - 9% of Total Package
5.	90% - 100%	Outstanding Performance	<ul style="list-style-type: none">• Remuneration Progression• 10% - 14% of total package

12.3 The Employee will be eligible for progression to the next higher remuneration package, within the relevant remuneration band, after completion of at least twelve months (12) service at the current remuneration package on 30 June (end of financial year) subject to a fully effective performance assessment results and above.

12.4 In the case of unacceptable performance, the Employer shall –

12.4.1 provide systematic remedial or developmental support to assist the Employee to improve his or her performance; and

12.4.2 after appropriate counseling and having provided the necessary guidance and/ or support as well as reasonable time for improvement in performance, the Employer may consider steps to terminate the contract of employment of the employee on grounds of unfitness or incapacity to carry out his or her duties.

13 DISPUTE RESOLUTION

13.1 Any disputes about the nature of the employee's performance agreement, whether it relates to key responsibilities, priorities, methods of assessment and/ or any other matter provide for, shall be mediated by –



13.1.1 The Executive Mayor within thirty (30) days of receipt of a formal dispute from the Employee; or

13.1.2 Any other person appointed by the Executive Mayor.

13.2 In the event that the mediation process contemplated above fails, clause 19.3 of the Contract of Employment shall apply.

14 GENERAL

14.1 The contents of this agreement and the outcome of any review conducted in terms of Annexure A may be made available to the public by the Employer.

14.2 Nothing in this agreement diminished the obligations, duties or accountabilities of the Employee in terms of his/ her contract of employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.

Thus, done and signed at Kwagga on this the 31st day of MARCH 2023

AS WITNESSES:

1. Dabala

Dumisani Ngutshana
CHIEF AUDIT EXECUTIVE

2. _____

Thus, done and signed at Kwagga on this the 31 day of MARCH 2023

AS WITNESSES:

1. Dabala

Municipal Manager
MUNICIPAL MANAGER

2. M. M. M.

W. M.

National Outcome Outcome 9 Responsive accountable effective and efficient local government system
 NDP Chapter Building a professional capable citizen focused public service NDP Chapter 13
 Strategic Goal To ensure accountable governance within the municipality
 DEPARTMENT: Internal Audit

KPA: GOOD GOVERNANCE AND PUBLIC PARTICIPATION: 90%

PLANNING LEVEL	MSCOA PROJECT	WARD TO BENEFIT	KEY PERFORMANCE INDICATOR	WEIGHTING	UNIT OF MEASURE	BASELINE	ANNUAL TARGET	QUARTER	PROGRAMME/PROJECT MILESTONE	TYPE OF EVIDENCE PER QUARTER	INDIVIDUAL PERFORMANCE MANAGEMENT	RESPONSIBLE PERSON
Chief Audit Executive Output	Internal Audit	All wards	Time taken to submit the Internal Audit plan to Audit Committee	5%	Turn around time	30-Jun	30-Jun-23	Q1	n/a	Audit Plan approved	1=Internal audit plan not submitted to the Audit Committee	Chief Audit Executive
								Q2	n/a		2=Internal audit plan submitted to the Audit Committee after 30 June 2023	
								Q3	n/a		3=Internal audit plan submitted to the Audit Committee by 30 June 2023	
								Q4	30-Jun-23		4=Internal audit plan submitted to the Audit Committee by 29 June 2023	
								Q4	30-Jun-23		5=Internal audit plan submitted to the Audit Committee by 28 June 2023	
Chief Audit Executive Output	Internal Audit Plan	All wards	No. of Audit Committee Performance Evaluations conducted	5%	Number	1	1	Q1	1	Performance evaluation report submitted to EXCO	1=Audit Committee performance evaluation conducted by 31 December 2022	Chief Audit Executive
								Q2	N/A		2=Audit Committee performance evaluation conducted by 30 October 2022	
								Q3	N/A		3=Audit Committee performance evaluation conducted by 30 September 2022	
								Q4	N/A		4=Audit Committee performance evaluation conducted by 28 September 2022	
								Q4	N/A		5=Audit Committee performance evaluation conducted by 26 September 2022	

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PLANNING LEVEL	MSCOA PROJECT	WARD TO BENEFIT	KEY PERFORMANCE INDICATOR	WEIGHTING	UNIT OF MEASURE	BASELINE	ANNUAL TARGET	QUARTER	PROGRAMME/PROJECT MILESTONE	TYPE OF EVIDENCE PER QUARTER	INDIVIDUAL PERFORMANCE MANAGEMENT	RESPONSIBLE PERSON
Chief Audit Executive Output	Audit Committee Resolutions	All wards	No. of Audit Committee resolutions registers submitted to EXCO	5%	Number	4	4	Q1	1	AC resolution register submitted to EXCO	1= Audit Committee resolution register submitted Zero times in a quarter to Exco	Chief Audit Executive
								Q2	1		2= One (1) Audit Committee resolution registers submitted to Exco	
								Q3	1		3= Two (2) Audit Committee resolution register submitted per quarter to EXCO	
								Q4	1		4= Three (3) Audit Committee resolution register submitted per quarter to EXCO	
											5= Four (4) Audit Committee resolution register submitted per quarter to EXCO	

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PLANNING LEVEL	MSCOA PROJECT	WARD TO BENEFIT	KEY PERFORMANCE INDICATOR	WEIGHTING	UNIT OF MEASURE	BASELINE	ANNUAL TARGET	QUARTER	PROGRAMME/PROJECT MILESTONE	TYPE OF EVIDENCE PER QUARTER	INDIVIDUAL PERFORMANCE MANAGEMENT	RESPONSIBLE PERSON
Chief Audit Executive Output	Internal Audit Plan	All wards	% of Approved Internal Audit Plan implemented.	25%	%	99%	100%	Q1	100%	IA plan and Q1 progress report at % at the end of the 1st quarter submitted	2=80-89% implementation of the approved Internal Audit Plan	Chief Audit Executive
								Q2	100%		3=100% implementation of the approved Internal Audit Plan	
								Q3	100%		4=100% implementation of the approved Internal Audit Plan by 29 June 2023	
								Q4	100%		5=100% implementation of the approved Internal Audit Plan by 28 June 2023	
Chief Audit Executive Output		All wards	No. of assessments submitted to EXCO on the implementation status of Action Plans (Internal Audit reports)	5%	Number		4	Q1	1	One assessment report submitted to EXCO on implementation of Action plans (IA reports)	1= Zero (0) assessment reports submitted per quarter to EXCO on the implementation of Action Plans (Internal Audit reports)	Chief Audit Executive
								Q2	1		2=Two (2) assessment reports submitted per annum to EXCO on the implementation of Action Plans (Internal Audit Reports)	
								Q3	1		3= One (1) Assessment Report submitted Once (1) per quarter to EXCO on the implementation of Action Plans (Internal Audit Reports)	
								Q4	1		4= Two (2) Assessment Reports submitted per quarter to EXCO on the implementation of Action Plans (Internal Audit Reports)	
											5= Three (3) Assessment Reports submitted per quarter to EXCO on the implementation of Action Plans (Internal Audit Reports)	

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PLANNING LEVEL	MSCOA PROJECT	WARD TO BENEFIT	KEY PERFORMANCE INDICATOR	WEIGHTING	UNIT OF MEASURE	BASELINE	ANNUAL TARGET	QUARTER	PROGRAMME/PROJECT MILESTONE	TYPE OF EVIDENCE PER QUARTER	INDIVIDUAL PERFORMANCE MANAGEMENT	RESPONSIBLE PERSON
Chief Audit Executive Output	Auditor General's Action Plans assessment	All wards	No. of assessments submitted to EXCO on the implementation status of Action Plans (Auditor General's report)	5%	Number	3	3	Q1	1	One assessment report submitted to EXCO on implementation of Action plans (AG reports)	1= Zero (0) assessment reports submitted per quarter to EXCO on the implementation of Action Plans (Auditor General's report)	Chief Audit Executive
								Q2	n/a		2=Two (2) assessment reports submitted per annum to EXCO on the implementation of Action Plans (Auditor General's Report)	
								Q3	1		3= One (1) Assessment Report submitted Once (1) per quarter to EXCO on the implementation of Action Plans (Auditor General's Report)	
								Q4	1		4= Two (2) Assessment Reports submitted per quarter to EXCO on the implementation of Action Plans (Auditor General's Report)	
											5= Three (3) Assessment Reports submitted per quarter to EXCO on the implementation of Action Plans (Auditor General's Report)	
Chief Audit Executive Output	Auditor General's Action Plans assessment	All wards	No. of Ethics Project Plan approved	5%	Number	New KPI	1	Q1	1	Signed Ethics Project Plan submitted	1=Ethics Projects Plan not approved	Chief Audit Executive
								Q2	-		2=Ethics Project Plan approved after the 1st quarter of 2022/2023	
								Q3	-		3=Ethics Project Plan approved by 30 September 2022	
								Q4	-		4= Ethics Project Plan approved by August 2022	
											5=Ethics Project Plan approved by July 2022	

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PLANNING LEVEL	MSCOA PROJECT	WARD TO BENEFIT	KEY PERFORMANCE INDICATOR	WEIGHTING	UNIT OF MEASURE	BASELINE	ANNUAL TARGET	QUARTER	PROGRAMME/PROJECT MILESTONE	TYPE OF EVIDENCE PER QUARTER	INDIVIDUAL PERFORMANCE MANAGEMENT	RESPONSIBLE PERSON
Chief Audit Executive Output	Auditor General's Action Plans assessment	All wards	Number of Reports regarding Projects implemented in line with the approved Ethics Plan 2022/23 FY	15%	Number	New KPI	4	Q1	1		1= Zero (0) reports submitted per quarter to EXCO on the implementation of the Ethics Project Plan	Chief Audit Executive
								Q2	1		2=Two (2) reports submitted per annum to EXCO on the implementation of the Ethics Project Plan	
								Q3	1	Signed Ethics Project Plan and 1st quarter report to EXCO submitted	3= One (1) Report submitted per quarter to EXCO on the implementation of the Ethics Project Plan	
								Q4	1		4= Two (2) Reports submitted per quarter to EXCO on the implementation of the Ethics Project Plan	
											5= Three (3) Reports submitted per quarter to EXCO on the implementation of the Ethics Project Plan	
Chief Audit Executive Output	Auditor General's Action Plans assessment	All wards	Number of Anti-Corruption and Investigation Activities Plan 2022/23 FY	5%	Number	New KPI	1	Q1	1		1= Anti-Corruption and Investigation Activities Plan not approved	Chief Audit Executive
								Q2	-		2= Anti-Corruption and Investigation Activities Plan approved after the 1st quarter of 2022/2023	
								Q3	-	Signed anti corruption and investigation activities plan 2022/23 fy submitted	3= Anti-Corruption and Investigation Activities Plan approved by 30 September 2022	
											4= Anti-Corruption and Investigation Activities Plan approved by August 2022	
								Q4	-		5= Anti-Corruption and Investigation Activities Plan approved by July 2022	

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PLANNING LEVEL	MSCOA PROJECT	WARD TO BENEFIT	KEY PERFORMANCE INDICATOR	WEIGHTING	UNIT OF MEASURE	BASELINE	ANNUAL TARGET	QUARTER	PROGRAMME/PROJECT MILESTONE	TYPE OF EVIDENCE PER QUARTER	INDIVIDUAL PERFORMANCE MANAGEMENT	RESPONSIBLE PERSON
	Auditor General's Action Plans assessment	All wards	Number of Reports regarding Projects implemented in line with the Anti-Corruption and Investigation Activities Plan 2022/23 FY	15%	Number	New KPI	4	Q1	1	Signed anti corruption and investigation activities plan and 1st quarter report 2022/23 fy submitted	1= Zero (0) reports submitted per quarter to EXCO on the implementation of the Anti-Corruption and Investigation Activities Plan	Chief Audit Executive
								Q2	1		2=Two (2) reports submitted per annum to EXCO on the implementation of the Anti-Corruption and Investigation Activities Plan	
								Q3	1		3= One (1) Report submitted per quarter to EXCO on the implementation of the Anti-Corruption and Investigation Activities Plan	
								Q4	1		4= Two (2) Reports submitted per quarter to EXCO on the implementation of the Anti-Corruption and Investigation Activities Plan	
											5= Three (3) Reports submitted per quarter to EXCO on the implementation of the Anti-Corruption and Investigation Activities Plan	

KPA: MUNICIPAL TRANSFORMATION & ORGANISATIONAL DEVELOPMENT: 10%

PLANNING LEVEL	MSCOA PROJECT	WARD TO BENEFIT	KEY PERFORMANCE INDICATOR	WEIGHTING	UNIT OF MEASURE	BASELINE	ANNUAL TARGET	QUARTER	PROGRAMME/PROJECT MILESTONE	TYPE OF EVIDENCE PER QUARTER	INDIVIDUAL PERFORMANCE MANAGEMENT	RESPONSIBLE PERSON
Chief Audit Executive Output	Labour disputes	All Wards	Days taken to attend to employee grievances within the prescribed timelines (Step 2)	10%	Days	New target	10 days	Q1	10 days	Signed Grievance form and Grievance meeting/ response	1=14 days and more taken to attend to employees grievances from time of submission	Chief Audit Executive
								Q2	10 days		2=13 days taken to attend to employees grievances from time of submission	
								Q3	10 days		3=12 days taken to attend to employees grievances from time of submission	
											4=11 days taken to attend to employees grievances from time of submission	

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PLANNING LEVEL	MSCOA PROJECT	WARD TO BENEFIT	KEY PERFORMANCE INDICATOR	WEIGHTING	UNIT OF MEASURE	BASELINE	ANNUAL TARGET	QUARTER	PROGRAMME/ PROJECT MILESTONE	TYPE OF EVIDENCE PER QUARTER	INDIVIDUAL PERFORMANCE MANAGEMENT	RESPONSIBLE PERSON
								Q4	10 days		5= 10 days taken to attend to employees grievances from time of submission.	
				Total = 100 %								

Signed and Accepted by the Chief Audit Executive
Dumisani Ngutshana
Date: 31 March 2023

Approved by the Municipal Manager
Makhosana Msezana
Date: 31 March 2023

CORE MANAGERIAL COMPETENCIES	WEIGHTING	KPI	PERFORMANCE MEASUREMENT	EVIDENCE
Strategic direction & leadership	15	% implementation of the Internal Audit plan.	1=59% and below implementation of the Internal Audit Plan	Progress report on the Implementation of the Internal Audit Plan
			2= 60-69 % implementation of the Internal Audit Plan	
			3= 70-79% implementation of the Internal Audit Plan.	
			4=80-89% implementation of the Internal Audit Plan	
			5=90-100% implementation of the Internal Audit Plan.	
Strategic direction & leadership	5	Development and tabling of the Three-Year Rolling Strategic Plan (2023/24, 2024/25 & 2025/26) and 2023/24 Annual Internal Audit Plan	1= Three-Year Rolling Strategic Plan and 2023/24 Annual Internal Audit Plan approved after the 30/07/2023	Approved Three-Year Rolling Strategic Plan (2023/24, 2024/25 & 2025/26) and 2023/24 Annual Internal Audit Plan submitted to Exco and Audit Committee for consideration and approval
			2= Three-Year Rolling Strategic Plan and 2023/24 Annual Internal Audit Plan approved after the 15/07/2023	
			3= Three-Year Rolling Strategic Plan and 2023/24 Annual Internal Audit Plan approved by the 30/06/2023	
			4= Three-Year Rolling Strategic Plan and 2023/24 Annual Internal Audit Plan approved by the 20/06/2023	
			5= Three-Year Rolling Strategic Plan and 2023/24 Annual Internal Audit Plan approved by the 15/06/2023	
People Management and Empowerment	10	Job Profiles and Draft Performance Agreements of the Managers are aligned to the 2023/24 SDBIP	1= Job Profiles and Draft Performance Agreements aligned to the 2023/24 SDBIP after the 30/07/2023	Reviewed and aligned Job Profiles and Draft Performance Agreements to the SDBIP
			2= Job Profiles and Draft Performance Agreements aligned to the 2023/24 SDBIP after the 15/07/2023	
			3=Job Profiles and Draft Performance Agreements aligned to the 2023/24 SDBIP by the 30/06/2023	
			4=Job Profiles and Draft Performance Agreements aligned to the 2023/24 SDBIP by the 20/06/2023	
			5=Job Profiles and Draft Performance Agreements aligned to the 2023/24 SDBIP by the 15/06/2023	

6/11/23

10/11/23

Program and Project Management	20	Service Delivery Management	1= 59% and below implementation of the SDBIP	SDBIP Quarterly Performance Reports from Monitoring and Evaluation Division
			2= 60-69% implementation of the SDBIP	
			3= 70-79% implementation of the SDBIP	
			4= 80-89% implementation of the SDBIP	
			5= 90-100% implementation of the SDBIP	
Financial Management	10	% Reduction of Unauthorized Irregular Wasteful Fruitless Expenditure (UIWFE)	1= 20% and more increase of UIWFE	Quarterly UIWFE report to Council
			2= 10% increase of UIWFE	
			3= 10% reduction of UIWFE	
			4= 20% reduction of UIWFE	
			5= 30% reduction of UIWFE	
Change Leadership	10	% of opex budget spent at the end of financial year	1= 92% Spent	1 July 2022 to 30 June 2023 In year monitoring report
			2= 94% Spent	
			3= 96% Spent	
			4= 98% Spent	
			5= 100% Spent	
	10	Number of monthly staff meetings held per year	1= 2 meetings	Agenda and Attendance Register of departmental meetings
			2= 3 meetings	
			3= 4 meetings	
			4= 5 meetings	
			5= 6 meetings	
	10	% Implementation of the Ethics Project plan and Anti-Corruption & Investigation Activities Plan	1=59% and Below	Ethics Project Plan and Anti-Corruption & Investigation Activities Plan
			2=60-69% implementation	
			3=70-79% implementation	
			4=80-89% implementation	

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Governance Leadership			5=90-100% implementation	Dashboard Risk Management Reports from M&E
	10	% Implementation of the annual risk management implementation plan	1=59% and Below implementation	
			2=60-69% implementation	
			3=70-79% implementation	
			4=80-89% implementation	
			5=90-100% implementation	
Total=100				

Signed and Accepted by the Chief Audit Executive:

Dumisani Ngutshana *[Signature]* 31 MARCH 2023
 Date: _____

Approved by the Municipal Manager
 Makhosana Msezana *[Signature]* 31 MARCH 2023
 Date: _____

SURNAME	NGUTSHANA		NAME	DUMISANI		
POSITION	CHIEF AUDIT EXECUTIVE		REPORT TO	MUNICIPAL MANAGER		
SALARY LEVEL	2		SALARY BAND	S66		
DEPARTMENT	CHIEF AUDIT EXECUTIVE		FINANCIAL YEAR	2022/2023		
Competency area to be developed	Specific development objectives (what to achieve)	Competency indicators (evidence of development)	Development activities (self-study, on-the-job, formal-dates and cost)	Support required (e.g. coaching)	Development review and assessment: Training provider	Sign-off review and assessment (Individual, Expert Trainer & Coach)
Financial Management	mSCOA implementation aligned to budgeting process	Certificate of completion issued by an accredited training provider	Formal training	Availability of funding for the training	Training provider	Expert trainer
CARE	<i>[Signature]</i>		MM's SIGNATURE	<i>[Signature]</i>	DATE	31 March 2023

